

01/08/04

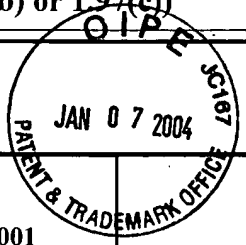
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15689.94

01-13-04

In Re Application Of: **Ken Igarashi**



Serial No.  
10/026,486

Filing Date  
December 24, 2001

Examiner

Group Art Unit  
2152

Title: **METHOD AND APPARATUS FOR HANDLING SERVICES BY A PROXY**

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Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

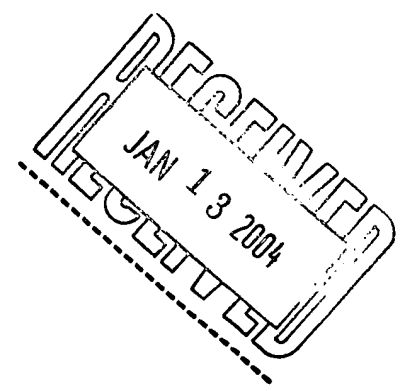
**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

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**Payment of Fee**

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of \_\_\_\_\_
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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\*This certificate may only be used if paying by deposit account.

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Dated: January 7<sup>th</sup>, 2004

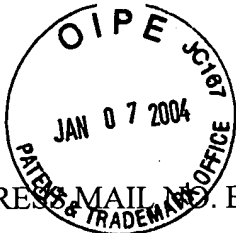
ADRIAN J. LEE

Attorney for Applicant

Reg. No.: 42,785

Customer No.: 022913

CC:



EXPRESS MAIL NO. EV 382941964 US

PATENT APPLICATION  
Docket No: 15689.94

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)	
	Ken Igarashi	)	
Serial No.:	10/026,486	)	Art Unit
Confirmation No.:	9280	)	2152
Filed:	December 24, 2001	)	
For:	METHOD AND APPARATUS FOR HANDLING SERVICES BY A PROXY	)	
Customer No.:	022913	)	

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).


DATED January 7<sup>th</sup>, 2004

Respectfully submitted,



ADRIAN J. LEE  
Attorney for Applicant  
Registration No. 42,785  
Customer No. 022913

AJL: ds  
DS0000001564V001

<div style="text-align: center;">  <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p> </div>	Docket Number (Optional) <b>15689.94</b>	Application Number <b>10/026,486</b>
	Applicant(s) <b>Ken Igarashi</b>	
	Filing Date <b>12/24/2001</b>	Group Art Unit <b>2152</b>

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,566,225	10/15/1996	Haas	379	58	11/21/1994
	2	6,018,805	1/25/2000	Ma et al.	714	4	12/15/1997

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FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	3	WO 99/66673	12/23/1999	WIPO	H04L12	28	✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	4	<b>MOBILE INFORMATION SERVICE BASED ON MULTI-AGENT ARCHITECTURE</b> Nobutsugu Fujino et al. October 1997

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Ken Igarashi**

Docket No.

**15689.94**

Serial No.

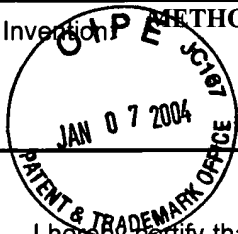
**10/026,486**

Filing Date

**December 24, 2001**

Examiner

Group Art Unit

**2152**Invention: **METHOD AND APPARATUS FOR HANDLING SERVICES BY A PROXY****RECEIVED****JAN 12 2004**

I hereby certify that the following correspondence:

(see below)

**Technology Center 2100***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

January 7<sup>th</sup>, 2004*(Date)***ADRIAN J. LEE***(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)***EV 382941964 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

Transmittal for Information Disclosure Statement (2 pages; duplicate);  
Information Disclosure Statement Under 37 C.F.R. § 1.97 ( 2 pages);  
Form PTO-1449 Listing of References (1 page);  
Legible Copy of References; (4 references)  
Certificate of Express Mail (EV382941964US);  
Acknowledgment Postcard